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Dated: September 27, 2004 Signature:

*Arnold B. Dompieri*  
(Arnold B. Dompieri)

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Docket No.: SONYJP 3.0-089  
(PATENT)

2616  
41

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Korj et al.

Application No.: 09/419,164

Group Art Unit: 2616

Filed: October 15, 1999

Examiner: J. A. Fletcher

For SIGNAL CONVERSION APPARATUS AND  
METHOD

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. The listed references were cited in an Official Action issued in connection with applicant's corresponding Chinese patent application. A copy of each reference is enclosed, except for Chinese Patent No. 1156310A, for which applicant was unable to obtain a copy. However, applicant encloses herewith U.S. Patent No. 5,825,968 which is the U.S. counterpart to the aforementioned Chinese patent. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

10/01/2004 WASFAW1 00000002 121095 09419164


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180.00 DA

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: September 27, 2004

Respectfully submitted,

By   
Arnold B. Dompieri  
Registration No.: 29,736  
LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP  
600 South Avenue West  
Westfield, New Jersey 07090  
(908) 654-5000  
Attorney for Applicant

LD-458\



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For SIGNAL CONVERSION APPARATUS AND  
METHOD

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR CONSIDERATION OF INFORMATION UNDER 37 CFR § 1.97 (C)

Sir:

It is respectfully requested that the references cited in the enclosed form be considered pursuant to 37 C.F.R. § 1.97(c). Please charge deposit account No. 12-1095 in the amount of \$180.00 pursuant to 37 C.F.R. § 1.17(p). In the event that any additional fee is due in connection with the present request, the same should be charged to our deposit account No. 12-1095.

Dated: September 27, 2004

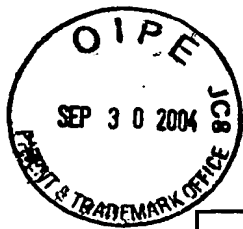
Respectfully submitted,

By *Arnold B. Dompieri*  
Arnold B. Dompieri

Registration No.: 29,736  
LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP  
600 South Avenue West  
Westfield, New Jersey 07090  
(908) 654-5000  
Attorney for Applicant

LD-453\

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<b>Substitute for form 1449A/B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/419,164
				Filing Date	October 15, 1999
				First Named Inventor	Teruhiko Kori
				Art Unit	2616
				Examiner Name	J. A. Fletcher
Sheet	1	of	1	Attorney Docket Number	SONYJP 3.0-089

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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	5,786,863	07-28-1998	Collins	
	AB	5,825,968	10-20-98	Nishigaki et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	EP-0 860 992-A2	08-26-1998	Matsushita Electric Industrial Co., Ltd.		✓
	BB	WO-98/46016-A1	10-15-1998	Kinya Washino		✓
	BC	JP-10-257428-A	09-25-1998	Victor Co of Japan Ltd		✓

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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Examiner Signature		Date Considered	
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